

## Qualification Unit

This unit forms part of a regulated qualification.

**Unit Title:** Governance and Regulatory Processes in Adult Care

**Unit Reference Number:** D/652/0807

**Level:** Five (5)

**Credit Value:** Six (6)

**Minimum Guided Learning Hours:** 32

Learning Outcome (The Learner will):	Assessment Criterion (The Learner can):
1. Understand legislation and statutory guidance that underpins adult care provision	1.1 Interpret current legislation and statutory guidance that applies to <b>all aspects</b> of service provision
	1.2 Evaluate own role, accountability and responsibility in applying legislation and statutory guidance within service provision
	1.3 Examine how duty of candour relates to own role and management of the service
	1.4 Evaluate the key roles, remits and responsibilities in registered services, including: <ul style="list-style-type: none"> <li>a) the registered manager</li> <li>b) the nominated individual</li> <li>c) the 'fit and proper person'</li> </ul>
2. Understand internal governance arrangements within own organisation	2.1 Analyse internal governance procedures used within own organisation
	2.2 Evaluate own role in applying, leading, and evaluating own service's governance procedures and agreed ways of working
3. Understand systems and requirements for the regulation of adult care services	3.1 Interpret specific legislation and regulations underpinning the inspection system in England
	3.2 Evaluate range of legislation and statutory guidance that support and relate to the inspection process in England

	3.3	Compare types of service provision which are subject to registration and inspection
4. Understand the inspection process in adult social care	4.1	Explain how services are inspected and the role of the regulator
	4.2	Explain the purpose of the inspection system
	4.3	Compare the different <b>types of inspection</b> and <b>key themes</b> of the inspection process
	4.4	Explain how the ratings system is used
	4.5	Examine when and how <b>enforcement</b> action can be used
	4.6	Analyse the ways in which information is requested and collected about the service and used to inform inspection activities
5. Understand the inspection process in own service	5.1	Assess how the requirements of the regulations are met within own service
	5.2	Explain who needs to be aware of, and involved in, the inspection process
	5.3	Evaluate the range and types of evidence which can be used to demonstrate the service is meeting requirements
	5.4	Examine ways to work with <b>others</b> before, during and after the inspection process
	5.5	Examine ways to address the outcome and impact of an inspection in own service
	5.6	Evaluate how outcomes of inspection can be used to drive service improvements

### Assessment Requirements

This unit must be assessed in accordance with Skills for Care & Development assessment principles guidance.

This is a knowledge-based unit.

Knowledge evidence may be generated outside of the work environment, but the final assessment and decision must show application of knowledge within the real work environment.

### Indicative Content

**LO1**

**AC1.1**

**All aspects:** relating to all aspects of responsibilities held within own organisation. If the learner is not currently providing regulated activity, then they

	should explore the formal role of a registered manager.
<b>LO4</b>	<p><b>AC4.3</b>  <b>Types of inspection:</b> the different inspections carried out by the regulator within adult social care services.</p> <p><b>Key themes:</b> the areas looked at during the inspection process.</p> <p><b>AC4.4</b>  <b>Enforcement:</b> should include civil and criminal.</p>
<b>LO5</b>	<p><b>AC5.4</b>  <b>Others:</b> not limited to the local authority teams, safeguarding teams, health professionals, family, relatives and the local integrated care systems.</p>